# **INVESTORS COMPLAINTS DATA**

NAME OF ENTITY: JJ IPO ADVISORS PRIVATE LIMITED

SEBI REG. NO.: **INM000013253** 

#### 1. Data for December 2024: Rights Issue.

S N	Received from	Pending as at the end of last month i.e. Nov	Received during the particular month i.e. Dec 24	Resolved during the particular month i.e. Dec 24*	Total Pending during the particular month i.e. Dec 24#	Pending complaint >1 month	Average Resolutio n time^\ (in days)
1	Directly from Investors	NA	0	0	0	0	0
2	SEBI (SCORES)	NA	0	0	0	0	0
3	Stock Exchanges (if relevant)	NA	0	0	0	0	0
4	Other Sources (if any)	NA	0	0	0	0	0
	Grand Total	NA	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)

S N	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	August, 2024	0	0	0	0
2	September, 2024	0	0	0	0
3	October, 2024	0	0	0	0
4	November, 2024	0	0	0	0
5	December, 2024	0	0	0	0
	<b>Grand Total</b>	0	0	0	0

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

S N	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular
		,	,	, ,	year
1	2021	NA	NA	NA	NA
2	2022	NA	NA	NA	NA
3	2023	NA	NA	NA	NA
4	2024	0	0	0	0
5	2025*	-	-	-	-
	<b>Grand Total</b>	-	-	-	-

<sup>\*</sup>Data shall be updated after the completion of respective calendar year

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

## 2. <u>Data for December 2024: Qualified Institutions Placement (QIP)</u>

S N	Received from	Pending as at the end of last month i.e. Nov	Received during the particular month i.e. Dec 24	Resolved during the particular month i.e. Dec 24*	Total Pending during the particular month i.e. Dec 24#	Pending complaint >1 month	Average Resolutio n time^\ (in days)
1	Directly from Investors	NA	0	0	0	0	0
2	SEBI (SCORES)	NA	0	0	0	0	0
3	Stock Exchanges (if relevant)	NA	0	0	0	0	0
4	Other Sources (if any)	NA	0	0	0	0	0
	Grand Total	NA	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)

S N	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	August, 2024	0	0	0	0
2	September, 2024	0	0	0	0
3	October, 2024	0	0	0	0
4	November, 2024	0	0	0	0
5	December, 2024	0	0	0	0
	<b>Grand Total</b>	0	0	0	0

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

S N	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	NA	NA	NA	NA
2	2022	NA	NA	NA	NA
3	2023	NA	NA	NA	NA
4	2024	0	0	0	0
5	2025*	-	-	-	-
	Grand Total	-	-	-	-

<sup>\*</sup>Data shall be updated after the completion of respective calendar year

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

### 3. Data for December 2024: Preferential Issue

S N	Received from	Pending as at the end of last month i.e. Nov 24	Received during the particular month i.e. Dec 24	Resolved during the particular month i.e. Dec 24*	Total Pending during the particular month i.e. Dec 24#	Pending complaint >1 month	Average Resolutio n time^\ (in days)
1	Directly from Investors	NA	0	0	0	0	0
2	SEBI (SCORES)	NA	0	0	0	0	0
3	Stock Exchanges (if relevant)	NA	0	0	0	0	0
4	Other Sources (if any)	NA	0	0	0	0	0
	Grand Total	NA	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)

S N	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	August, 2024	0	0	0	0
2	September, 2024	0	0	0	0
3	October, 2024	0	0	0	0
4	November, 2024	0	0	0	0
5	December, 2024	0	0	0	0
	<b>Grand Total</b>	0	0	0	0

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

S N	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	NA	NA	NA	NA
2	2022	NA	NA	NA	NA
3	2023	NA	NA	NA	NA
4	2024	0	0	0	0
5	2025*	-	-	-	-
	Grand Total	-	-	-	-

<sup>\*</sup>Data shall be updated after the completion of respective calendar year

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

#### 4. Data for December 2024: SME IPO and FPO including OFS

S N	Received from	Pending as at the end of last month i.e. Nov	Received during the particular month i.e. Dec 24	Resolved during the particular month i.e. Dec 24*	Total Pending during the particular month i.e. Dec 24#	Pending complaint >1 month	Average Resolutio n time^\ (in days)
1	Directly from Investors	NA	0	0	0	0	0
2	SEBI (SCORES)	NA	0	0	0	0	0
3	Stock Exchanges (if relevant)	NA	0	0	0	0	0
4	Other Sources (if any)	NA	0	0	0	0	0
	Grand Total	NA	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)

S N	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	August, 2024	0	0	0	0
2	September, 2024	0	0	0	0
3	October, 2024	0	0	0	0
4	November, 2024	0	0	0	0
5	December, 2024	0	0	0	0
	<b>Grand Total</b>	0	0	0	0

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

S N	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	NA	NA	NA	NA
2	2022	NA	NA	NA	NA
3	2023	NA	NA	NA	NA
4	2024	0	0	0	0
5	2025*	-	-	-	-
	<b>Grand Total</b>	-	-	-	-

<sup>\*</sup>Data shall be updated after the completion of respective calendar year

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

## 5. <u>Data for December 2024: Buyback of Securities</u>

S N	Received from	Pending as at the end of last month i.e. Nov	Received during the particular month i.e. Dec 24	Resolved during the particular month i.e. Dec 24*	Total Pending during the particular month i.e. Dec 24#	Pending complaint >1 month	Average Resolutio n time^\ (in days)
1	Directly from Investors	NA	0	0	0	0	0
2	SEBI (SCORES)	NA	0	0	0	0	0
3	Stock Exchanges (if relevant)	NA	0	0	0	0	0
4	Other Sources (if any)	NA	0	0	0	0	0
	Grand Total	NA	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)

S N	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	August, 2024	0	0	0	0
2	September, 2024	0	0	0	0
3	October, 2024	0	0	0	0
4	November, 2024	0	0	0	0
5	December, 2024	0	0	0	0
	<b>Grand Total</b>	0	0	0	0

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

S N	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	NA	NA	NA	NA
2	2022	NA	NA	NA	NA
3	2023	NA	NA	NA	NA
4	2024	0	0	0	0
5	2025*	-	-	-	-
	Grand Total	-	-	-	-

<sup>\*</sup>Data shall be updated after the completion of respective calendar year

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

## 6. <u>Data for December 2024: Delisting of Equity Shares</u>

S N	Received from	Pending as at the end of last month i.e. Nov	Received during the particular month i.e. Dec 24	Resolved during the particular month i.e. Dec 24*	Total Pending during the particular month i.e. Dec 24#	Pending complaint >1 month	Average Resolutio n time^\ (in days)
1	Directly from Investors	NA	0	0	0	0	0
2	SEBI (SCORES)	NA	0	0	0	0	0
3	Stock Exchanges (if relevant)	NA	0	0	0	0	0
4	Other Sources (if any)	NA	0	0	0	0	0
	Grand Total	NA	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)

S N	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	August, 2024	0	0	0	0
2	September, 2024	0	0	0	0
3	October, 2024	0	0	0	0
4	November, 2024	0	0	0	0
5	December, 2024	0	0	0	0
	<b>Grand Total</b>	0	0	0	0

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

S N	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular
					year
1	2021	NA	NA	NA	NA
2	2022	NA	NA	NA	NA
3	2023	NA	NA	NA	NA
4	2024	0	0	0	0
5	2025*	-	-	-	-
	Grand Total	-	-	-	-

<sup>\*</sup>Data shall be updated after the completion of respective calendar year

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

## 7. <u>Data for December 2024: Substantial Acquisitions of Shares and Takeovers</u>

S N	Received from	Pending as at the end of last month i.e. Nov 24	Received during the particular month i.e. Dec 24	Resolved during the particular month i.e. Dec 24*	Total Pending during the particular month i.e. Dec 24#	Pending complaint >1 month	Average Resolutio n time^\ (in days)
1	Directly from Investors	NA	0	0	0	0	0
2	SEBI (SCORES)	NA	0	0	0	0	0
3	Stock Exchanges (if relevant)	NA	0	0	0	0	0
4	Other Sources (if any)	NA	0	0	0	0	0
	Grand Total	NA	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)

S N	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	August, 2024	0	0	0	0
2	September, 2024	0	0	0	0
3	October, 2024	0	0	0	0
4	November, 2024	0	0	0	0
5	December, 2024	0	0	0	0
	<b>Grand Total</b>	0	0	0	0

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

S N	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	NA	NA	NA	NA
2	2022	NA	NA	NA	NA
3	2023	NA	NA	NA	NA
4	2024	0	0	0	0
5	2025*	-	-	-	-
	Grand Total	-	-	-	-

<sup>\*</sup>Data shall be updated after the completion of respective calendar year

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

## 8. Consolidated Investors Complains Data for December 2024

S N	Received from	Pending as at the end of last month i.e. Nov	Received during the particular month i.e. Dec 24	Resolved during the particular month i.e. Dec 24*	Total Pending during the particular month i.e. Dec 24#	Pending complaint >1 month	Average Resolutio n time^\ (in days)
1	Directly from Investors	NA	0	0	0	0	0
2	SEBI (SCORES)	NA	0	0	0	0	0
3	Stock Exchanges (if relevant)	NA	0	0	0	0	0
4	Other Sources (if any)	NA	0	0	0	0	0
	Grand Total	NA	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)

S N	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	August, 2024	0	0	0	0
2	September, 2024	0	0	0	0
3	October, 2024	0	0	0	0
4	November, 2024	0	0	0	0
5	December, 2024	0	0	0	0
	<b>Grand Total</b>	0	0	0	0

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

S N	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	NA	NA	NA	NA
2	2022	NA	NA	NA	NA
3	2023	NA	NA	NA	NA
4	2024	0	0	0	0
5	2025*	-	-	-	-
	Grand Total	-	-	-	-

<sup>\*</sup>Data shall be updated after the completion of respective calendar year

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.